

Date: Tue, 09 Feb 2010 23:04:27 -0800
To: "Jackson, Richard J." [REDACTED]
From: "James E. Enstrom" [REDACTED]
Subject: Request Re EHS Accounting & UCLA Funding

February 9, 2010

Richard J. Jackson, MD, MPH
Chair, Environmental Health Sciences
UCLA School of Public Health
[REDACTED]

Dear Dick,

Thank you very much for speaking with me last Friday regarding my faculty position in Environmental Health Sciences. I need your immediate assistance regarding my UCLA funding, which has been administered by EHS since July 2004. Based on the "June 2007 Summary of Funds" that was prepared by EHS staff (Barbara Housel), I had a balance of \$243,816 in direct and indirect cost support in five funds (05399, 45634, 59039, 59605, and 69970). As of August 2007, when I received my "June 2007 Summary of Funds," I had assumed that my funding was secure. Fund 59605 was an active source of ongoing support that paid my entire UCLA salary and my other funds, which were unrestricted, were being held in reserve for future use. Since I became a UCLA faculty member in July 1976, I have submitted monthly time sheets indicating the fund to be used to pay my salary and my salary, until recently, had always been charged to the fund that I had indicated.

During 2007, 2008, and 2009 I charged my salary to fund 59605 in good faith and I did not receive any notice that fund 59605 had ended. On December 14, 2009 I received the "October 2009 Summary of Funds" from EHS staff (Joan Klemstine). To my surprise and dismay this Summary of Funds indicated that my salary during 2009 (and possibly 2008) was charged to three of my unrestricted funds (45634, 59039, and 69970) without my knowledge or permission. I was shocked to learn that I had a remaining balance of only \$65,558 in all accounts. Then I received a January 22, 2010 email message from Joan Klemstine that fund 59605 was closed without my knowledge in May 2009. Then I received a January 25, 2010 memo from Joan Klemstine and a "December 2009 Summary of Funds" indicating that I had a remaining balance of only \$33,013 in all accounts. During January 2010 Joan Klemstine apparently charged \$33,157.90 to fund 59039 without my knowledge or permission. My last paycheck was dated January 4, 2010 and was for my December 2009 salary. I request that no further charges be made to any of my funds until I have a chance to fully understand the accounting procedures used since June 2007. In particular, I want to know who has authorized the charging of my salary to funds other than 59605 without my knowledge or permission. Based on my understanding of UCLA accounting procedures during the past 33 years, the principal investigator must give prior approval before charges can be made to a specific fund controlled by the principal investigator. If there has been any change in this policy, I have never been informed of this change.

Given what appear to have been serious accounting irregularities regarding my funds during 2009 (and possibly 2008), I request your assistance in resolving these irregularities and in providing temporary funding for my salary.

Thank you very much for your consideration.

Best regards,

Jim Enstrom
[REDACTED]